

AML and CTF readiness review

Unsigned preparation draft - review the complete document before use.

Blank W form - enter reviewed information only.

Track business program tasks; this is not customer due diligence.

Agency

Agency legal entity

Trading name

ABN

Estate-agent licence number

Agency email

Agency phone

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Program

Designated services and eligibility reviewed

Business risk-assessment status

Policies and process customisation status

Compliance officer and escalation contact

Training and staff readiness

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Program

Secure CDD and reporting controls - no customer ID data

Review

Program approval and gaps